

PATENT


5181-91701

P6380

"EXPRESS MAIL" MAILING LABEL NUMBER
EL822012360US

DATE OF DEPOSIT JUNE 29, 2001

I HEREBY CERTIFY THAT THIS PAPER OR
FEE IS BEING DEPOSITED WITH THE UNITED
STATES POSTAL SERVICE "EXPRESS MAIL
POST OFFICE TO ADDRESSEE" SERVICE
UNDER 37 C. F. R. § 1.10 ON THE DATE
INDICATED ABOVE AND IS ADDRESSED TO
THE ASSISTANT COMMISSIONER FOR
PATENTS, BOX PATENT APPLICATION,
WASHINGTON, D. C. 20231


Derrick Brown

DYNAMIC CLASS RELOADING MECHANISM

By:

Hanumantha Rao Susarla

Mukesh Garg

Sandhya E

5181-91701

Robert C. Kowert/RSR
Conley, Rose & Tayon, P. C.
P. O. Box 398
Austin, TX 78767-0398
Ph: (512) 476-1400

09895287-062901
T06290-062901

PRIORITY CLAIM

This application claims benefit of priority of provisional application Serial No. 60/292,906 entitled "Dynamic Class Reloading Mechanism" filed May 22, 2001, whose
5 inventors are Hanumantha R. Susarla, Mukesh Garg and Sandhya E.

BACKGROUND OF THE INVENTION

1. Field of the Invention

10 This invention relates to computer software, and more particularly to a system and method for providing dynamic class reloading in applications.

2. Description of the Related Art

15 It is often necessary to make changes in the presentation logic and/or the business logic of applications. In the world of application servers that run large and often mission-critical applications, taking the server offline to get these changes reflected may not be possible. In the development environment, it is quite common for a developer to deploy
20 an application or Enterprise JavaBeans™ (EJB™) bean, test it, and make certain changes to get desired results. Since deploying a business component like an EJB™ or assembling an application in itself is quite complex, in the development environment, whenever the developer changes a bean, the server has to be restarted to check the changes.

25 In application servers based on the J2EE™ (Java™ 2 Platform, Enterprise Edition) distributed computing model, the business presentation is typically represented using servlets and/or JavaServer Pages™ (JSP™), and the business logic typically runs in the form of distributed components such as EJBs. These application servers may, to an
30 extent, provide for the reloading of servlets and JSPs at runtime through a custom class

loader mechanism. The existing class loader framework is, however, based on older technologies, and versionability is provided assuming that there is no need for state maintenance of the components. The versionability criterion for classes is static and global for all applications.

5

A possible architecture for a class reloading mechanism is to have a separate class loader for each application, and to have the system class loader as the parent of the class loaders. The system class loader loads the standard classes and the application server core classes, and the application class loader loads the user-defined classes. This architecture is illustrated in Figure 1. This architecture may address security and reloading issues, but does not provide an optimal class loading framework. Since there is a single class loader that handles all the classes in an application, all the loaded classes will be reloaded for a single class change. This is added overhead for the application server.

15 **Class Loaders**

The following section provides background information on class loaders, class loading, and class reloading. This information refers to the Java™ programming language and to the Java™ Virtual Machine (JVM) architecture as an example of an implementation of class loaders, loading, and reloading. This information, however, may be relevant to other architectures, programming languages, environments including virtual machine environments, platforms, applications, application servers and/or implementations of class loaders.

The default class loading mechanism in the JVM is to load the class file from a specified location into the memory and to execute the byte code as and when the request comes in for a particular class. The default class loader, which may be referred to as a system class loader, caches the class once it loads it. Therefore, if the class file changes after loading the class, the changes are not reflected in the program unless JVM is restarted.

30

Class loaders are one of the cornerstones of virtual machine architectures such as the JVM architecture. Class loaders enable a virtual machine to load classes without knowing anything about the underlying file system semantics, and may also allow applications to dynamically load classes such as Java™ classes as extension modules.

For example, JVM has an embedded class loader called the primordial/system class loader. Virtual machines such as JVM may also provide a facility by which user can introduce a custom class loader. For example, in JVM, a hook is provided to the loading mechanism through the custom class loaders. A custom class loader may load a class before the primordial class loader does. Therefore, certain policies pertaining to loading classes, maintenance, fetching classes, etc. may be implemented by the custom class loader. The custom class loader may also, for example, specify the remote location from which the classes are loaded, and/or assign appropriate security. Programmatically speaking, class loaders are ordinary objects that may be defined in code (e.g. Java™ code). In Java™, class loaders are instances of subclasses of abstract class Classloader.

15 In Java™, classes and interfaces are dynamically loaded, linked, and initialized. Loading is the process of finding the binary form of a class or interface type with a particular name and constructing, from that binary form, a Class object to represent the class or interface. For example, a class or Interface C's loading is triggered by another
20 class or interface D, which references C through its runtime constant pool. Class or interface loading may also be triggered by D invoking methods in certain Java™ class libraries such as Reflection. Once a class is loaded, it is linked and resolved. Linking involves verifying and preparing a class, its direct superinterfaces, its direct superclass and its element type (if its an array type). Resolving is the process of dynamically
25 determining concrete values from symbolic references in the runtime constant pool is known as resolving.

A class object loaded by loader L1 has a runtime signature <C1,L1> inside JVM. Same class C1, when loaded by L2, has the runtime signature <C1,L2> and thus can be distinguished from <C1,L1> by its runtime signature.

Once a class loader loads a class, the same class loader cannot load a different version of the class, as JVM internally tightly couples the class with the class loader. To maintain type safety, the virtual machine must be able to consistently obtain the same class type for a given class name and loader. The virtual machine cannot trust any user-defined loadClass method to consistently return the same type for a given name. JVM has to ensure security and behavioral consistency. Therefore, the virtual machine internally maintains a loaded class cache. The loaded class cache maps class names and the initiating loaders.

The next time the loader tries to load the class, an already cached copy of the class will be returned, but reloading will not be performed. So to reload a class requires the class to be loaded by a new loader. A runtime class type is determined not by its name alone but by its class name and its defining class loader. So if two loaders L1 and L2 load a class, they are different.

Delegation Mechanism

The Java™ Development Kit (JDK™), version 1.2, introduces a delegation mechanism that was not provided by earlier versions of the JDK. When using many class loaders, the class loaders can be linked using a parent-child relationship. A loader, before trying to load a class, can forward the request to its parent. The loading of a class can be started by one loader and completed by another. If C is a result of the loadClass of loader Li, then Li is the initiating loader of C. If C is the result of the defineClass() of loader Ld, then Ld is the defining loader of C.

In the following, the class type is shown as <C,Ld> where C is the Class and Ld is the defining loader. CLi is used to depict the initiation of loading.

Consider an example with loaders L1 and L2, and classes C1, C2.

```

class C1{
    String s1 = "xyz";
    void g(){
        C2 c2obj= new C2();
5         C2obj.f( s1 );
    }
}

class C2{
10     void f(String s ){
        ....
    }
}

```

15 If C1 was loaded by L1 and C2 was loaded by L2, the symbolic reference of String inside C1 will be resolved by L1 (i.e. String class will be loaded by L1). C2 is loaded by L2. When a call happens to function f of C2 inside C1, the argument passed is <String, L1>, but the argument expected by f inside C2 is <String, L2> and there would be a class cast exception when trying to making a call. This problem happens because two

20 different types of the same class were being used as one. This redundancy can be reduced by providing a parent class loader Lp to the loaders, which will take the responsibility of loading all the common classes that it can. Thus, both L1 and L2 will forward the request to Lp and will effectively end up loading String through Lp only once, thus maintaining consistency.

25

Framework of a Loader

The main methods in ClassLoader are:

```

public Class loadClass( String name)
30 protected final Class defineClass(String name, byte[] buf,
    int off, int len);
protected final Class findLoadedClass(String name)
protected final Class findSystemClass(String name)
protected Class findClass(String className)

```

Resolution Mechanism

As previously mentioned, resolution is the dynamic determination of symbolic references. The following example illustrates the resolution for a class C.

5

```
Class C extends D implements E {
    F f;
    String s;
    C(){
10        S = f.myString;
    }

    void myMethod(){
        f.itsMethod();
15    }
}
```

direct superInterface: E
direct superClass : D
20 external class SymbolicReference : F
external Field Symbolic Reference : F::myString
external Method Symbolic Reference : F::itsMethod()

25 Direct superClass and direct superInterface are loaded at the time of the loading of C using the same loadClass of the loader which called a defineClass for C. Other Symbolic references are dynamically resolved.

Class: The defining loader of C is used to load this class.

30 Field: First the class to which field belongs is resolved. Then the field resolution attempts to look up the referenced field in the class and all its superclasses.

Constraints imposed: let $\langle E, L1 \rangle$ be the class or interface in which the referenced field is actually declared and let $L2$ be the defining loader of D . Let T be the name of the type of the referenced field. The virtual machine imposes the loading constraint:

5 $T(L1)=T(L2).$

First the class on which the method is called is resolved. After the class is resolved, the method is looked up in the class, its super classes or super interfaces. The following constraints may be applied. Let $\langle E, L1 \rangle$ be the class or interface in which the
10 referenced method is actually declared and let $L2$ be the defining loader of D . Let $T0$ be the name of the type returned by the referenced method, and let $T1...Tn$ be the names of the argument types of the referenced method. The virtual machine imposes the loading constraint:

15 for $i = 1$ to n :
 $TiL1=TiL2$

J2EE™

The Java™ 2 Platform, Enterprise Edition (J2EE™) defines the standard for
20 developing multitier enterprise Applications. J2EE™ simplifies enterprise applications by basing them on standardized, modular components, by providing a complete set of services to those components, and by handling many details of application behavior automatically, without complex programming. J2EE™ takes advantage of many features of the Java™ 2 Platform, Standard Edition, such as "Write Once, Run Anywhere™"
25 portability, JDBC™ (Java™ DataBase Connectivity) API for database access, Object Management Group's Common Object Request Broker Architecture (CORBA) technology for interaction with existing enterprise resources, and a security model that protects data even in internet applications. Building on this base, J2EE™ adds full support for EJB™ components, Java™ Servlets API, and JSP™ among many other
30 technologies.

000000000000

5

10

10

15

15

20

20

25

25

programming inherently encompasses modular programming. J2EE™ is an example of an environment that may use modular programming.

J2EE™ Module

- 5 A software unit that consists of one or more J2EE™ components of the same container type and one deployment descriptor of that type. There are three types of modules: EJB™, web, and application client. Modules can be deployed as stand-alone units or assembled into an application.

10 **Container**

 An entity that provides life cycle management, security, deployment, and runtime services to components. Corresponding to every component type, there exists a container. Each type of container (e.g. EJB™, web, JSP™, servlet, applet, and application client) may provide component-specific services.

15

Application servers

- An application server is a server program in a computer in a distributed network that provides the business logic for an application program. The application server is
20 frequently viewed as part of a three-tier application, consisting of a graphical user interface (GUI) server, an application (business logic) server, and a database server. More descriptively, it can be viewed as dividing an application into:

- A first-tier, front-end, Web browser-based graphical user interface, usually at a personal computer or workstation
- 25 ◦ A middle-tier business logic application or set of applications, possibly on a local area network or intranet server
- A third-tier, back-end, database and transaction server, sometimes on a mainframe or large server

SUMMARY OF THE INVENTION

5 A system and method for providing dynamic class reloading using a modular, pluggable and easily maintainable class loader framework is described. In one embodiment, the dynamic class reloading mechanism as described herein may be applied to Java™ applications. Other embodiments may be applied to applications written in other programming languages. Each application in an application server (or alternatively in any implementation) may include a dynamic class loader module. The class loader
10 module may include a hierarchical stack of class loaders. Each class loader may have one parent class loader and zero or more child class loaders. Each module in the application may be associated with its own class loader; in other words, there may be one class loader for each module. Each class loader may be responsible for loading one or more classes.

15 In one embodiment, the application may include a class loader module that may include a hierarchical stack of class loaders that are each configured to load one or more classes for the application when invoked. In one embodiment, a class loader controller may provide an interface to the stack of class loaders and may be configured for use in
20 invoking the class loaders to load the classes. The class loader controller may be configured to receive a request to load a class. In response to receiving the request, the class loader controller may first locate the appropriate class loader in the stack of class loaders and then invoke the located class loader.

25 In one embodiment, the application may be executing within an application server. The application server may include a plurality of applications executable within the application server, and one or more of the application may include an application-specific class loader module configured for use in loading and reloading classes for the particular application. Each class loader module may include an application-specific, hierarchial
30 stack of class loaders for the application. In one embodiment, each application may include an application class loader that is responsible for loading cross-module classes in the application. The application class loader may be the parent of module-specific class

loaders in the application-specific hierarchical stack of class loaders. In one embodiment directed at Java™ applications, below the module level loaders are Web class loaders and EJB™ class loaders. In one embodiment, to enforce module level separation of the utility classes, the class loader stack may include another layer between the application class loader and the other layers. This layer may load all the classes that are visible only to a module but not cross-module. The application server may include a system class loader that is the parent of each of the application class loaders and which is responsible for loading “core” classes for the application server.

At some point, one or more of the classes used by the application may be changed. For example, a programmer may make a modification to a class. The application may detect that a class has been changed. In one embodiment, the application may include a dirty class monitor that may monitor classes used by the application and detect when any of the classes have been changed. The class loader for the class may be replaced with a new version of the class loader configured to load the changed class. In one embodiment, the dirty class monitor may notify the class loader controller that the class has been changed. The class loader controller may then locate the class loader responsible for loading the class in the hierarchical stack of class loaders. The class loader controller may then replace the class loader with the new class loader. If there are one or more classes that depend on the class to be reloaded, the class loaders responsible for reloading the dependent classes may be located and replaced as well. If one or more of the dependent classes are loaded by the same class loader that is responsible for loading the changed class, then the class loader may only be replaced once. After replacing the class loader(s), the new class loader may load the changed class (which may be referred to as “reloading the class”). In one embodiment, dependent classes, if any, may also be reloaded by their respective class loaders. In one embodiment, the class loader controller may invoke each of the necessary class loaders to reload the class(es) that need to be reloaded in response to the change in the class.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates one embodiment of the architecture of a class reloading
5 mechanism according to one embodiment;

Figure 2 is a dependency graph showing the dependencies among the types of
classes in a typical application server according to one embodiment;

10 Figure 3 is a table illustrating the triggering of class loading at different levels
according to one embodiment;

Figure 4 illustrates a class loader stack for an application according to one
embodiment;

15 Figure 5 illustrates application level separation of the class loader stacks according
to one embodiment;

Figure 6 illustrates the dynamic class reloading mechanism according to one
20 embodiment;

Figure 7 is a flowchart illustrating a method for providing dynamic class reloading
in applications according to one embodiment; and

25 Figure 8 illustrates a dirty class monitor (DCM) and its use by an application class
loader controller (ACLC) according to one embodiment.

While the invention is described herein by way of example for several
30 embodiments and illustrative drawings, those skilled in the art will recognize that the
invention is not limited to the embodiments or drawings described. It should be

understood, that the drawings and detailed description thereto are not intended to limit the invention to the particular form disclosed, but on the contrary, the intention is to cover all modifications, equivalents and alternatives falling within the spirit and scope of the present invention as defined by the appended claims. The headings used herein are for
5 organizational purposes only and are not meant to be used to limit the scope of the description or the claims. As used throughout this application, the word "may" is used in a permissive sense (i.e., meaning having the potential to), rather than the mandatory sense (i.e., meaning must). Similarly, the words "include", "including", and "includes" mean including, but not limited to.

10

09895287, 052901
T06290, 7825860

DETAILED DESCRIPTION OF EMBODIMENTS OF THE INVENTION

A system and method for providing dynamic class reloading using a modular, pluggable and easily maintainable class loader is described. In the complex software development scenarios of the day, dynamism may be an important feature in the components being built. The same holds true for an application server's application execution capabilities. Application developers tend to look for more in terms of security and the dynamic maintainability of applications. This document describes embodiments of a dynamic class reloading mechanism using modular, pluggable and easily maintainable class loaders for applications in application servers. The dynamic class reloading mechanism enables the changing of the functionality of applications running within an application server without requiring the restart of the server. Using the dynamic class reloading mechanism, only a changed class and its dependent classes are reloaded, thus limiting the number of files that are affected on the application server.

In one embodiment, the dynamic class reloading mechanism as described herein may be used with Java™ 2 Enterprise Edition (J2EE™) applications in application servers. In one embodiment, the application server may be an iPlanet Application Server (iAS). In application servers such as iAS™ which are based on the J2EE™ distributed computing model, the business presentation is typically represented using servlets and/or JavaServer Pages (JSPs), and the business logic typically runs in the form of distributed components such as Enterprise JavaBeans™ (EJBs). Embodiments of the dynamic class reloading mechanism may provide dynamic reloading of Servlets, JSPs, EJBs and any other user-provided Java™ class while incurring minimum overhead.

While the dynamic class reloading mechanism is described herein in respect to applications in application servers, it is noted that embodiments of the dynamic class reloading mechanism may be used in any other application area that requires the dynamic reloading of classes. For example, the dynamic class reloading mechanism may be applied to Java™ programs and/or other object-oriented language programs executable

within Java™ Virtual Machines and/or other operating environments. In general, the dynamic class reloading mechanism is flexible enough to be used by any enterprise application.

- 5 If only certain classes can be reloaded, then modification of classes that cannot be dynamically reloaded requires the restart of the whole system. Therefore, embodiments of the dynamic class reloading mechanism may allow any class or class-based object or module a developer changes to be reloaded (e.g. helper classes, EJBs, JSPs, etc.).

10 **Dynamic Class Reloading**

 In one embodiment, an application server may be based on the Java™ 2 Enterprise Edition (J2EE™) distributed computing model. The application server may feature support for the J2EE. An example of an application server based on the J2EE™ is the iPlanet Application Server (iAS). In the J2EE™ application arena, the class
15 spectrum may be broadly classified as:

- Standard Java™ classes (java.*, javax.*)
- Application server core classes (com.kivasoft.*, com.netscape.*, etc.)
- User-defined classes (servlets, JSPs, EJBs; utility/helper classes).

20 Typically, the first two categories should not be reloaded, because it is on them that the application server, and thus the applications provided by the application server, run. In one embodiment, the dynamic class reloading mechanism may enable the reloading of classes in the third category. On an application server, embodiments of the dynamic class reloading mechanism may also:

- 25 ◦ Provide application level separation.
- Reload classes as soon as possible when changed.
- Follow a delegation mechanism that is a modification of the mechanism described in JDK, version 1.2.
- Be easily extendable and maintainable.
- 30 ◦ Be compliant to the JDK, version 1.2.

- Be compliant with the Servlet and EJB™ specifications by providing separate loaders for EJBs, JSPs, servlets, etc. to thus provide security for the classes/components.

In embodiments of the dynamic class reloading mechanism, the loaded class count for a class loader may be minimized. Thus, when the class loader is replaced, fewer classes may be replaced than in the class loader architecture described above. At the same time, the dynamic class reloading mechanism may optimize the number of class loaders to avoid having so many class loaders that maintenance is difficult.

Embodiments of the dynamic class reloading mechanism may provide a modular division of class loaders that may minimize the loaded class count and, at the same time, optimize the number of class loaders. In the dynamic class reloading mechanism, every module may be loaded by a different class loader.

Figure 2 is a dependency graph showing the dependencies among the types of classes in a typical application on an application server according to one embodiment. In one embodiment, the application may be a J2EE™ application. These class types are the components that are part of enterprise applications. Considering the symbolic dependency between the class types, the class types may be arranged as shown in Figure 2. (If a class A instantiates another class B, class a is said to be symbolically dependent on class B.) Each arrow implies that the source class type maintains a reference to the target class type. EJB™ bean implementations, servlets and JSPs may have a symbolic reference to the utility classes and/or interfaces, stubs and skeletons. All the components may have symbolic references to System classes. Servlets and JSPs may not directly maintain a symbolic reference to other servlets and/or JSPs. Based on this layered dependency, the class loaders may be layered as well. Servlets, JSPs, and EJBs are at the bottom (lowest) layer. Utility classes, interfaces, stubs and skeletons are classes that may be used cross-module, so in one embodiment they may not be put in module-specific loaders.

If a class changes, all the classes that use this class may be reloaded as well as the changed class. This implies that, if a class in a layer changes, then all the classes in lower layers may be reloaded as well as the changed class. So, if the system class loader is assumed to be at the highest level, then a change in a system class may trigger class reloading in all the lower levels (as well as the changed class at the system level). In general, if there are n layers, where n is the lowest layer and 0 is the highest layer, then change in a class at a layer m may trigger class reloading in layers (m, m+1...n). Figure 3 is a table illustrating the triggering of class loading at the different levels in one embodiment.

Examples of class changing may include, but are not limited to:

- In one embodiment, if a helper Class changes, all underlying EJB™, Servlet and/or JSP™ class loaders may be replaced, and the EJBs, servlets, and/or JSPs reloaded, in addition to the changed helper class because the EJBs, servlets, and/or JSPs may be using the changed helper class.
- In one embodiment, if an EJB™, interface or stub class changes (e.g. as a result of the redeployment of the EJB™), all underlying EJB™, Servlet and/or JSP™ class loaders may be replaced, and the EJBs, servlets, and/or JSPs reloaded in this application, as the EJBs, servlets, and/or JSPs may include a symbolic reference to this EJB™, interface, or stub class.
- In one embodiment, if a bean implementation class changes, only the EJBClassloader that loaded it may be replaced, because classes in other class loaders do not contain a symbolic reference to this bean implementation class.
- In one embodiment, if a servlet class or a JSP™ page class changes, only the Servlet or JSP™ which loaded it may be replaced, because classes in other loaders do not contain a symbolic reference to this servlet class or JSP™ page class.

In one embodiment, the dynamic class reloading mechanism may be implemented as a pluggable module referred to as a dynamic class loader module. Each application in a given implementation (for example, an application server) may include its own dynamic

class loader module. The class loader module may include, but is not limited to, the following components:

- A stack of class loaders
- An interface to the class loaders (class loader controller)
- A dirty class monitor.

The stack of class loaders may include a separate class loader for each module in the application. The class loader is responsible for loading classes that are not directly referenced across modules. For example, if there are n EJB™ modules in an application, the application may have n EJB™ loaders. As another example, if there are n web modules, there may be n servlet and/or JSP™ class loaders to load classes in them. In addition, there may be one or more class loaders for each application that is responsible for loading common classes referenced across the modules. The stack of class loaders provides granularity of the class loaders without breaking the functionality, and thus generates less overhead in reloading.

As for deciding which class is versionable and which class is not, there is a need to provide flexibility to the deployer in defining the different versionability criteria for classes in different applications. This need generally arises when an ASP (Application Service Provider) deploys applications for different customers. In one embodiment, these versionability criteria may be defined in the form of Registry entries that are globally applicable to all applications. In one embodiment, versionability of the applications may be controlled using the Disable Flag in the Registry under SYSTEM_JAVA/Versioning. In one embodiment, if the Disable flag is 0, then all the servlets, JSPs and/or EJB™ implementations are by default Versioned.

In one embodiment, a class loader controller may provide an interface to the stack of class loaders. Each application may have a class loader controller that provides a common entry point for loading the classes of the application. The class loader controller may handle the initializing of all the class loaders in the application's stack of class

loaders. When a servlet and/or EJB™ container needs a class, it may forward the “load class” request to the class loader controller. The class loader controller then may determine which class loader is supposed to load the class. Every module may be loaded by its associated class loader, so the class loader may be assigned based on which module
5 the class belongs to. Any notification for a class change may also come to the class loader controller so that it can recreate the concerned class loaders. In one embodiment, the class loader controller may also inform listeners (e.g. ServletRepository and EJBContainer) to reset their caches. By providing an interface to the class loaders, the class loader controller separates the logic of an application server’s existing code from the class
10 loading and reloading mechanism. Thus, the class loader controller is easily pluggable into an existing framework. The class loader controller may enable the addition of components/loaders without having to modify the container; only the class loader module needs to be modified.

15 Figure 4 illustrates a class loader stack for an application according to one embodiment. Each application may include an application class loader 202 that may loads cross-module classes, utility classes, interfaces, stubs and skeletons for the application. A system class loader 200 is shown as the parent class loader of the application class loader 202. At the layer below the application class loader 202 are the
20 module level loaders 204. In one embodiment, below the module level loaders are Web class loaders 206 and EJB™ class loaders 208.

In one embodiment, to enforce module level separation of the utility classes, the class loader stack may include another layer between the application class loader 202 and
25 the other layers. This layer may load all the classes that are visible only to a module but not cross-module. The table of Figure 3 illustrates the interdependency between the classes loaded by the class loaders in the class loader stack illustrated in Figure 4, according to one embodiment.

In one embodiment, all of the independent modules that are deployed in the server may be handled by one stack of class loaders 110 that may be separate from any of the application-specific class loader modules. For example, the stack of class loaders 110 may be included in an independent class loader module for the application server.

5

Figure 5 illustrates application level separation of the class loader stacks according to one embodiment. In this embodiment, a system class loader 200 is shown as the parent class loader of all the application class loaders 202. In one embodiment, all the class loaders, after loading a class, may register the loaded class with a thread that
10 monitors the change in the classes. In one embodiment, all application-specific classes may be encapsulated in the application 100 and may not be accessible by other applications 100, primarily for security purposes. If there were one class loader for all applications 100, then the loader would be capable of loading any application's classes. Thus, the security of the applications 100 may be lost. Application level separation
15 requires the separation of the application class loaders 202. Otherwise, one application 100 may access the classes of other applications 100.

Figure 6 illustrates a dynamic class reloading mechanism according to one embodiment. The container 254 is the user of the class loader module. The container 254
20 may be a Web container or an EJB™ container, among others. The container 254 may create the application class loader controller 252 and use it to load the classes. The container 254 may interact with the application class loader controller 252 for:

- "load class" requests.
- Registering as a class change listener.
- 25 ◦ Receiving a notification from the replacement logic of the application class loader controller when a class changes.

Upon receiving a notification about the change of a class, the container 254 may get rid of the references to objects of the old class. For example, if the container 254 gets
30 a message from the application class loader controller 252 that a particular servlet has

periodically. All the loaders, after loading a class, may also register the loaded class with the class change detection logic 250. In one embodiment, the class change detection logic 250 may maintain data pertaining to a class in a data structure. For example, the following structure may be used:

5

```
class element {  
    class name  
    class path  
    loader  
10    file  
    last loaded time  
    last modified time  
}
```

10

15

In one embodiment, the following method may be used by the class change detection logic 250, assuming the polling frequency is x units:

For each x units of time interval:

For each registered class:

20

If the last loaded time for this registered class is less than the last modified time for this registered class, then call the replacement logic to replace this registered class.

In one embodiment, the class name and the class loader maintained in the class
25 element may be passed to the replacement logic 256. The application class loader controller 252 may use the replacement logic 256 to replace the loaders and to notify the listeners registered for that module.

In one embodiment, the replacement logic 256 may be responsible for handling
30 the replacement of class loaders. When a class needs to be reloaded, the class may have been previously loaded by a class loader. In one embodiment, to make the reloading

happen, the class loader may be replaced with a new version, and the new class loader may then load the class.

As mentioned the class loaders may be arranged in layers. The layers may be
5 numbered from top to bottom as 0 to n:

Layer 0

Layer 1

.

.

10 Layer n

In this stack, layer i is the parent of layer i+1. When a loader in layer i is changed, the following may be performed.

15 Find all of the loader's descendants, i.e., i+1 to n.

For each of the descendants of the loader:

Create a new loader of the same type.

Copy relevant properties from the old loader to the new one.

Assign the appropriate parent to the new loader.

20 Notify the listeners registered for the loader.

Figure 7 is a flowchart illustrating a method for providing dynamic class reloading in applications according to one embodiment. As illustrated at 300, one or more class loaders may, when necessary, load classes for an application. In one embodiment, the
25 application may include a class loader module that may include a hierarchical stack of class loaders that are each configured to load one or more classes for the application when invoked. In one embodiment, a class loader controller may provide an interface to the stack of class loaders that is configured for use in invoking the class loaders to load the classes. The class loader controller may be configured to receive a request to load a class,

and may, in response to receiving the request, may first locate the appropriate class loader in the stack of class loaders and then invoke the located class loader.

In one embodiment, the application may be executing within an application server.

5 The application server may include a plurality of applications executable within the application server, and one or more of the application may include an application-specific class loader module configured for use in loading and reloading classes for the particular application. Each class loader module may include an application-specific, hierarchical stack of class loaders for the application. In one embodiment, each application may
10 include an application class loader that is responsible for loading cross-module classes in the application. The application class loader may be the parent of module-specific class loaders in the application-specific hierarchical stack of class loaders. The application server may include a system class loader that is the parent of each of the application class loaders and which is responsible for loading “core” classes for the application server.

15

As indicated at 302, at some point one or more of the classes used by the application may be changed. For example, a programmer may make a modification to a class. As indicated at 304, the application may detect that a class has been changed. In one embodiment, the application may include a dirty class monitor that may monitor
20 classes used by the application and detect when any of the classes have been changed.

As indicated at 306, the class loader for the class may be replaced with a new version of the class loader configured to load the changed class. In one embodiment, the dirty class monitor may notify the class loader controller that the class has been changed.
25 The class loader controller may then locate the class loader responsible for loading the class in the hierarchical stack of class loaders. The class loader controller may then replace the class loader with the new class loader. If there are one or more classes that depend on the class to be reloaded, the class loaders responsible for reloading the dependent classes may be located and replaced as well. If one or more of the dependent
30 classes are loaded by the same class loader that is responsible for loading the changed

class, then the class loader may only be replaced once. After replacing the class loader(s), the new class loader may load the changed class (which may be referred to as “reloading the class”) as indicated at 310. In one embodiment, dependent classes, if any, may also be reloaded by their respective class loaders. In one embodiment, the class loader
5 controller may invoke each of the necessary class loaders to reload the class(es) that need to be reloaded in response to the change in the class.

Dirty Class Monitor

Figure 8 illustrates a dirty class monitor 260 and its use by an application class
10 loader controller 252 according to one embodiment. Along with loading the classes, the class loader module may also be responsible for monitoring the state of loaded classes. For this, the class loader module may maintain a separate thread running periodically to check for the state of class files. This thread may be referred to as a dirty class monitor 260. Tasks related to the dirty class monitor 260 may include, but are not limited to,
15 registration and notification. Whenever the class loaders load classes, the classes may also be registered with the dirty class monitor 260 through the application class loader controller 252.

Module-specific helper classes

20 Helper (utility) classes in one module may be symbolically referenced by other module classes. For example, a class “Address” may be used by a “Billing” module and also by a “Shipping” module. In one embodiment, all helper classes may be loaded by the same class loader as the EJB™ interface classes. Another embodiment may allow for module-specific helper classes that are specific to a module and are not referenced by
25 other modules. In this embodiment, another layer of module-specific helper class loaders may be added between the common EJB™ interface and/or helper class loaders and the EJB™ implementation class loader. Each module class loader may have a helper class loader which may be used for loading the module-specific classes.

Versionability

While developing an application, developers may need to make changes and to apply the changes without restarting the application server. Versionability means having the ability to reload a class. When the application is released, reloading of classes may not be allowed. In one embodiment, the versionability of the classes may be changed to mark that the classes may not be reloaded. For example, to improve performance, the reloading mechanism may be switched off using the versioning when an application is released from developers for general use.

One embodiment may provide a separate mechanism for making classes versionable according to the application's need. In one embodiment, this mechanism may be a tool that provides a graphical user interface (GUI) to dynamically configure the versionability. In another embodiment, this mechanism may be a data file that may be read to determine versionability.

15

Exemplary Classes

The following are descriptions of exemplary classes that may be used in implementing a dynamic class reloading mechanism as described herein.

20 **Application Class Loader Controller class**

An application class loader controller class may include a constructor method:

AppClassLoaderController (String appName)

25 The constructor method may:

- create an application class loader, passing the system class loader as its parent.
- get all the modules of the application using the application descriptor.
- find all EJB™ modules and create an EJB™ class loader for each EJB™ module, passing the application class loader as its parent.

- find all web modules and create a servlet JSP™ class loader for each web module, passing the application class loader as its parent.
- Add all the corresponding class paths and JAR paths (if any) to the EJB™ and web loaders. All the class paths may also be added to the application class loader.

5

The application class loader controller class may also include a load class method that may be implemented in any of various ways, including the following two examples:

loadClass (String className) public method
10 loadClass (String className, string moduleName) public method

The load class method may find which module the class className belongs to and forward the request to it. The load class method may have access to all the module directories that belong to that application. The class name may be converted to a class
15 path format and appended to each module directory path. Wherever the file exists, it is given that particular loader.

A listener may include the notion of a class that may desire to be informed about a particular loader replacement. The application class loader controller may also include a
20 register method for the listeners, for example:

AddClassChangedListener (IclassChangedListener cl)

Listeners that want to register themselves for any class change notification may do so
25 using this method. In one embodiment, the listener may implement an interface including a class changed method. In one embodiment, this method may be used as a callback by the class loader controller to inform the listener about the loader replacement.

The application class loader controller class may also include a class changed
30 method:

classChanged (classloader id, classname) public method

The class changed method may reload the class loaders and all of the child class loaders. The class changed method may also notify the corresponding listener about the class change.

5

Dirty Class Monitor class

A dirty class monitor class may include a register class method:

registerClass (Class , classloaderid) public method

10

This method may add the class, along with the class loader identifier, to be monitored. This method may be called from the class loader which loaded this class.

A dirty class monitor class may also include a “do it” method:

15

dolt() private method

20

This method may be periodically called by the dirty class monitor thread to check for change in any registered class and to call the class changed method of the application class loader controller, passing the name of the changed class and the class loader identifier of the class loader which loaded the class.

Application Class Loader class

The application class loader is the parent of all servlet JSP™ class loaders, and its parent class loader is the system class loader. The application class loader class may include a load class method:

loadClass (String className) public method

30

In this method, the load class request may first be passed to the parent of the loader. If the system class loader is not able to load the class, a check may be performed

to determine if the request is for an EJB™, a Servlet or a JSP™. If it is determined that the request is for an EJB™, a Servlet or a JSP™, an exception may be thrown and the load method may return without attempting to load the class. If it is determined that the request is for an EJB™, a Servlet or a JSP™, the load class method may then attempt to load the class. If the class cannot be found or loaded by the load class method, the method may throw an exception (e.g. a class not found exception) and return.

The application class loader class may also include a find class method:

10 findClass (String className) protected method

This method may be called by the load class method of the application class loader if the parent class loader was not able to load the class. It reads the class file from the locations specified in its repository. In one embodiment, the repository may be in the form of a vector of URLs and Jar files. If the class is not an EJB™ implementation class or a servlet class, then the class may be loaded. Otherwise, a class not found exception may be thrown, which may be caught by its child class loader (which may then attempt to find and load the class).

20 The application class loader class may also include an add class path method that may adds the directory path to the application class loader's class path repository:

addClasspath (String path) public method

25 The application class loader class may also include an add Jar file method:

addJarFile (JarFile, String path) public method

This method may add the Jar file, along with the path, to the application class loader's Jar file repository. This method may also read a user-defined configuration file

and determine if the class being loaded is versionable. If the class is versionable, the class may be registered with the dirty class monitor.

EJB™ Class Loader class

- 5 An EJB™ class loader is responsible for loading EJB™ bean implementation classes only. The EJB™ class loader class may include a find class method:

`findClass (String className) protected method`

- 10 This method may be called by a load class method if the parent class loader was not able to load the class. It reads the class file from the location(s) specified in the repository. If the class is an EJB™ implementation class, then the class may be loaded. Otherwise, a class not found exception may be thrown, which may be caught by its child class loader.

- 15 The EJB™ class loader class may also include an add class path method that adds the directory path to its class path repository:

`addClassPath (String path) public method`

- 20 The EJB™ class loader class may also include an add Jar file method that adds the Jar file, along with the path, to its Jar file repository:

`addJarFile (JarFile, String path) public method`

25

Web Class Loader class

The Web class loader class may include a find class method:

`findClass (String className) private method`

30

09895237.062904
T06290.28256880

This method may be called by a load class method if the parent class loader is not able to load the class. This method reads the class file from the location(s) specified in the repository. If the class is a servlet class, then the class may be loaded. Otherwise, a class not found exception may be thrown, which may be caught by its child class loader.

5

The Web class loader class may also include an add class path method that adds the directory path to its class path repository:

addClassPath (String path) public method

10

The Web class loader class may also include an add Jar file method that adds the Jar file, along with the path, to its Jar file repository:

addJarFile (JarFile, String path) public method

15

Conclusion

The dynamic class reloading mechanism uses a layered structure, making it flexible and easily maintainable. Having a single in/out point to the class loader module makes it easily integratable into existing container code. The layered stack of class loaders may be extended to incorporate extra layers.

20

Various embodiments may further include receiving, sending or storing instructions and/or data implemented in accordance with the foregoing description upon a carrier medium. Generally speaking, a carrier medium may include storage media or memory media such as magnetic or optical media, e.g., disk or CD-ROM, volatile or non-volatile media such as RAM (e.g. SDRAM, DDR SDRAM, RDRAM, SRAM, etc.), ROM, etc. as well as transmission media or signals such as electrical, electromagnetic, or digital signals, conveyed via a communication medium such as network and/or a wireless link.

30

In summary, a system and method for providing a dynamic class reloading mechanism have been disclosed. It will be appreciated by those of ordinary skill having the benefit of this disclosure that the illustrative embodiments described above are capable of numerous variations without departing from the scope and spirit of the invention. Various modifications and changes may be made as would be obvious to a person skilled in the art having the benefit of this disclosure. It is intended that the following claims be interpreted to embrace all such modifications and changes and, accordingly, the specifications and drawings are to be regarded in an illustrative rather than a restrictive sense.

10

09895287-062904
T06290-062904